

# An arithmetic result concerning the centralizers of diffeomorphisms of the half-line

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## Abstract

Let  $f$  be a smooth diffeomorphism of the half-line fixing only the origin and  $\mathcal{Z}_f^r$  its centralizer in the group of  $C^r$  diffeomorphisms. According to well-known results of Szekeres and Kopell,  $\mathcal{Z}_f^1$  is always a one-parameter group, naturally identified to  $\mathbb{R}$ , with  $f \cong 1$ . On the other hand,  $\mathcal{Z}_f^r$ ,  $2 \leq r \leq \infty$ , can be smaller: in [Se], Sergeraert constructed an  $f$  whose  $C^\infty$  centralizer reduces to the infinite cyclic group generated by  $f$  (*i.e.*  $\mathcal{Z}_f^\infty \cong \mathbb{Z}$ ). In [E1], we adapted Sergeraert's construction to obtain an  $f$  whose  $C^r$  centralizer, for all  $2 \leq r \leq \infty$ , contains a Cantor set  $K$  but is still strictly smaller than  $\mathcal{Z}_f^1 \cong \mathbb{R}$ . Here, we improve the construction of [E1] to prove that for any Liouville number  $\alpha$ , there is an  $f$  as above such that, in addition,  $\alpha \in K \subset \mathcal{Z}_f^r$ .

We want to understand what the  $\mathcal{C}^r$  centralizer,  $2 \leq r \leq \infty$ , of a smooth diffeomorphism  $f$  of  $\mathbb{R}_+ = [0, \infty)$  can possibly look like. If  $\mathcal{D}^r$  denotes the group of  $\mathcal{C}^r$  diffeomorphisms of  $\mathbb{R}_+$ ,  $1 \leq r \leq \infty$ , endowed with the usual  $\mathcal{C}^r$  (compact-open) topology, the  $\mathcal{C}^r$  centralizer  $\mathcal{Z}_f^r$  of  $f$  is the (closed) subgroup of  $\mathcal{D}^r$  made up of all diffeomorphisms commuting with  $f$ . Here, we limit ourselves to the diffeomorphisms  $f$  which fix only the origin. The  $\mathcal{C}^1$  centralizer of such an  $f$  is very well understood: well-known theorems by G. Szekeres and N. Kopell [Sz, K] show that  $\mathcal{Z}_f^1$  is always a one-parameter subgroup of  $\mathcal{D}^1$  (see also [Y, chap. 4] and [N, chap. 4] for complete proofs and more discussion). More precisely,  $f$  is the time-1 map of a unique  $\mathcal{C}^1$  vector field  $\nu_f$  on  $\mathbb{R}_+$  (we call it the *Szekeres vector field of  $f$* ), and  $\mathcal{Z}_f^1$  reduces to the flow of  $\nu_f$ . Hence, there is a natural identification of  $\mathcal{Z}_f^1$  to  $\mathbb{R}$ , with  $f \cong 1$ . Since  $\mathcal{Z}_f^r$  decreases with  $r$  and contains the infinite cyclic subgroup generated by  $f$ , one has

$$\mathbb{Z} \cong \{f^n, n \in \mathbb{Z}\} \subset \mathcal{Z}_f^r \subset \mathcal{Z}_f^1 \cong \mathbb{R}.$$

If  $\nu_f$  is of class  $\mathcal{C}^r$ , the inclusion on the right is an equality. According to F. Takens [T], this is always the case if  $f$  is not infinitely tangent to the identity at 0. However, this inclusion can also be strict, as Sergeraert shows in [Se], and one can actually check [E2] that in his example,  $\mathcal{Z}_f^2 = \mathcal{Z}_f^\infty$  reduces to the group spanned by  $f$ , and is hence as small as possible. It is then easy, for any integer  $q \geq 1$ , to find an  $f$  whose  $\mathcal{C}^\infty$  centralizer, seen as a subgroup of  $\mathbb{R}$ , is  $\frac{1}{q}\mathbb{Z}$ . The next natural question then is whether  $\mathcal{Z}_f^\infty$  can be a dense (but still proper) subgroup of  $\mathcal{Z}_f^1 \cong \mathbb{R}$ . Article [E1] gives a positive answer:  $\mathcal{Z}_f^\infty$  can contain a Cantor set  $K$ .

In the construction of [E1], based on Sergeraert's techniques and Anosov-Katok-like methods (introduced in [A-K]; see also [F-K] and the references therein), the very good approximation of the elements of  $K$  by rational numbers plays a crucial role. This fact urges us to consider  $\mathcal{Z}_f^\infty$  not merely from a topological point of view, but from an arithmetic one:

*What kind of irrational numbers can  $\mathcal{Z}_f^\infty$  contain ?*

Here, it seems natural to distinguish between numbers who satisfy a diophantine condition (*i.e.* are “badly” approximated by rational numbers) and numbers who don't. Recall that a number  $\alpha$  is said to *satisfy a diophantine condition* if there exist constants  $c > 0$  and  $\gamma \geq 0$  such that

$$\left| \alpha - \frac{p}{q} \right| \geq cq^{-2-\gamma} \tag{1}$$

for every rational number  $p/q$ , with  $q \geq 1$ . An irrational number which satisfies no diophantine condition is called a *Liouville number*. The following result might constitute one half of the answer to the above question.

**Theorem A.** *For any Liouville number  $\alpha$ , there exists a  $\mathcal{C}^\infty$  diffeomorphism  $f$  of  $\mathbb{R}_+$  with a single fixed point at the origin, whose  $\mathcal{C}^r$  centralizer, for all  $2 \leq r \leq \infty$ , is a proper subgroup of  $\mathcal{Z}_f^1 \cong \mathbb{R}$  and contains a Cantor set  $K \ni \alpha$ .*

The aim of this article is to prove the following equivalent statement.

**Theorem A’.** *For any Liouville number  $\alpha$ , there exists a  $\mathcal{C}^1$  vector field  $\nu$  on  $\mathbb{R}_+$  vanishing only at 0 whose time- $t$  map is smooth for every  $t \in \{1\} \cup K$ , for some Cantor set  $K$  containing  $\alpha$ , but not  $\mathcal{C}^2$  for some other  $t \in \mathbb{R}$ .*

Half of the question remains open: one would now like to prove that a  $\mathcal{C}^1$  vector field on  $\mathbb{R}_+$  whose time-1 and  $\alpha$  maps are smooth, for some  $\alpha$  satisfying a diophantine condition, is necessarily smooth itself, drawing one’s inspiration from similar problems in the case of circle diffeomorphisms. This parallel suggests many more questions: can the set of smooth times be dense but countable? Is there some particular arithmetic relation between two irrational smooth times of a nonsmooth flow?...

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## 1 Overview

The general idea of the construction is the same as in [E1]. We repeat it here for completeness’ sake as well as to emphasize the slight (but key) improvements, gathered at the end of the section. All below statements will be made precise and proved in the subsequent sections.

### 1.1 Sergeraert’s construction

We first need to explain how to build a  $\mathcal{C}^1$  vector field whose flow is smooth for some times but not  $\mathcal{C}^2$  for others. Therefore, we sketch Sergeraert’s construction (with some minor modifications). Sergeraert starts with a diffeomorphism  $f_0$  which is the time-1 map of a “well-chosen” smooth vector field  $\nu_0$  on  $\mathbb{R}_+$  (described later). He subjects it to infinitely many “small” (explicit) perturbations, with disjoint supports, closer and closer to 0, denoted by  $\gamma_k$ ,  $k \in \mathbb{N}^* = \mathbb{N} \setminus \{0\}$ , so that

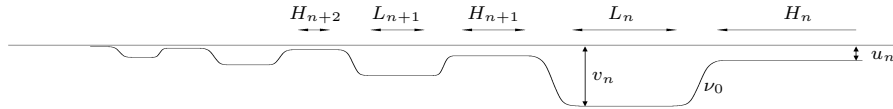
$$f = f_0 + \sum_{k \geq 1} \gamma_k$$

is still a smooth diffeomorphism of  $\mathbb{R}_+$  (to ensure this, he only needs to pick the  $\gamma_k$ 's so that their sum converges in  $\mathcal{C}^\infty$  topology and is  $\mathcal{C}^1$ -small compared to  $f_0$ ), but that its Szekeres vector field, on the other hand, is not smooth anymore. More precisely, he makes sure that the time-1/2 map of the resulting vector field is not  $\mathcal{C}^2$ .

It is not straightforward, even when one knows their expressions, to visualize the effect of the perturbations  $\gamma_k$  on the Szekeres vector field of  $f_0$  and on its time-1/2 map. A way to understand how things work is to interpret Sergeraert's construction in terms of deformation by conjugation. Let us therefore describe the construction all over again, in a different language.

We start with the same smooth vector field  $\nu_0$  (Sergeraert's, described below) and this time, we're going to obtain the desired vector field  $\nu$  (the one with a smooth time-1 map and a non  $\mathcal{C}^2$  time-1/2 map) as a limit of a sequence of deformations  $\nu_k$ , each  $\nu_k$  being the pull-back  $h_k^* \nu_0$  of  $\nu_0$  by a smooth diffeomorphism  $h_k$  of  $\mathbb{R}_+$ . The flow  $f_k^t$  of  $\nu_k$  is then related to the flow  $f_0^t$  of  $\nu_0$  by  $f_k^t = h_k^{-1} \circ f_0^t \circ h_k$ . The point is to cook up the conjugations  $h_k$  so that  $f_k^1$  converges in  $\mathcal{C}^\infty$  topology while  $f_k^{1/2}$  converges only in  $\mathcal{C}^1$  topology (in particular, the  $h_k$  must diverge in  $\mathcal{C}^2$  topology).

Here, the behaviour of the initial vector field plays a crucial role: it vanishes only at 0, is negative elsewhere, and its graph resembles an undersea landscape consisting of a sequence of alternating lowlands  $L_n$  and highlands  $H_n$ , accumulating at the origin, whose respective altitudes  $-v_n$  and  $-u_n$  (measured from the water surface, so that  $0 < u_n < v_n$ ) go to zero very fast when  $n$  grows (so that  $\nu_0$  is infinitely flat at 0), but "oscillate wildly" in the sense that the ratios  $v_n/u_n$  (and actually  $v_n^k/u_n$  for all  $k$ ) tend to infinity. A consequence of this behaviour is that,



if an element  $f_0^t$  of the flow takes a segment  $S \subset L_n$  (resp.  $S \subset H_n$ ) into  $L_n$ , then its restriction to  $S$  is the translation  $x \mapsto x - tv_n$  (resp. an affine map with big dilation factor  $v_n/u_n$ ). This follows immediatly from the invariance of  $\nu_0$  under its flow:  $\nu_0 \circ f_0^t = \nu_0 \times Df_0^t$ .

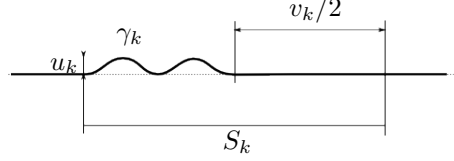
In the light of these remarks, we can move on to the definition of the conjugations  $h_k$ . What we actually construct for each  $k$  is a diffeomorphism  $g_k$ , and we then define  $h_k$  as  $g_k \circ h_{k-1}$ . Hence  $\nu_k = h_k^* \nu_0 = h_{k-1}^* g_k^* \nu_0$ , so that the flows of  $\nu_k$  and  $\nu_{k-1}$  are given by

$$f_k^t = h_{k-1}^{-1} \circ (g_k^{-1} \circ f_0^t \circ g_k) \circ h_{k-1} \quad \text{and} \\ f_{k-1}^t = h_{k-1}^{-1} \circ f_0^t \circ h_{k-1}$$

respectively. Thus, intuitively, we want  $g_k^{-1} \circ f_0^1 \circ g_k - f_0^1$  to be  $\mathcal{C}^k$ -small (say less than  $2^{-k}$ ) while  $g_k^{-1} \circ f_0^{1/2} \circ g_k - f_0^{1/2}$  is  $\mathcal{C}^2$ -big. To do that, we chose a  $g_k$  which

- commutes with  $f_0^1$  everywhere except in a small region: a fundamental interval  $S_k$  of  $f_0^1$  lying “in the middle of  $L_k$ ”;
- is  $\mathcal{C}^k$  close to the identity in this region.

More precisely, we take  $g_k$  equal to the identity near 0 and of the form  $\text{id} + \gamma_k$  on  $S_k$ , where  $\gamma_k$  is a  $\mathcal{C}^k$  small function supported in  $S_k$ , of the form:



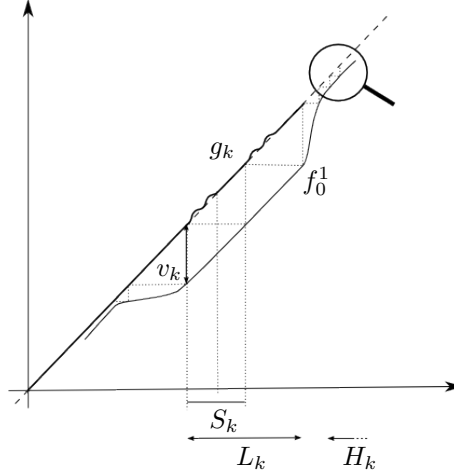
(we will see shortly why this form in particular). One easily checks that this choice of  $g_k$  gives:

$$f_k^1 = f_{k-1}^1 + \gamma_k.$$

(this construction is thus really equivalent to Sergeraert’s). The support of  $g_k - \text{id}$ , on the other hand, is *not*  $S_k$ . Indeed, the above information is enough to determine  $g_k$  on all of  $\mathbb{R}_+$ :  $g_k$  is the identity on  $[0, \min S_k]$ , but  $[\max S_k, +\infty)$  is tiled by segments  $S_k^p = f_0^{-p/q_k}(S_k)$ ,  $p \geq 1$ , on which

$$g_k |_{S_k^p} = f_0^{-p} \circ (g_k |_{S_k}) \circ f_0^p = f_0^{-p} \circ (\text{id} + \gamma_k) \circ f_0^p.$$

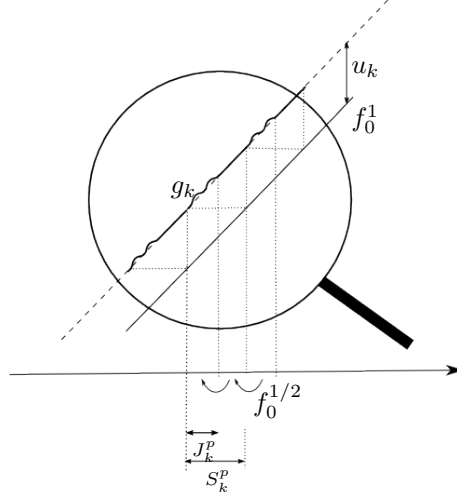
On  $[\sup S_k, \sup L_k]$  in particular,  $f_0^1$  coincides with the translation by  $-v_k$ , so  $g_k$  commutes with this translation.



If  $S_k^p \subset H_k$  on the other hand, the restriction of  $f_0^p$  to  $S_k^p$  is an affine map of the form

$$x \in S_k^p \mapsto \frac{v_k}{u_k} x + c_k,$$

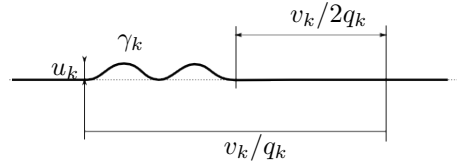
where  $c_k$  is a real constant. Hence,  $g_k|_{S_k^p}$  is conjugate to  $g_k|_{S_k}$  by an affine map of huge ratio, precisely cooked up to make  $g_k|_{S_k^p}$   $\mathcal{C}^2$  big ( $g_k$  converges towards the identity in  $\mathcal{C}^1$  topology, though).



The disymmetric behaviour of  $\gamma_k$  had a purpose as well: on one half of the segment  $S_k^p$ , one can check that  $g_k^{-1} \circ f_0^{1/2} \circ g_k - f_0^{1/2}$  is exactly  $g_k - \text{id}$ , and hence  $\mathcal{C}^2$  big. Superimposing all these perturbations (*i.e.* conjugating by  $h_k = g_k \circ \dots \circ g_1$  and taking the  $\mathcal{C}^1$  limit) has the desired effect on the time-1/2 map of the limit vector field.

## 1.2 Combination with Anosov–Katok-type methods

Now let  $\alpha$  be an irrational number. We want to modify the above construction so that in the end, both 1 and  $\alpha$  are smooth times of the limit vector field. The idea is to pick an approximation of  $\alpha$  by rational numbers  $p_k/q_k$ ,  $k \geq 1$ , to take an initial vector field  $\nu_0$  similar to Sergeraert's, and, this time, to ask  $g_k$  to commute almost everywhere not with  $f_0^1$  anymore, but with  $f_0^{1/q_k}$  (and thus with both  $f_0^{p_k/q_k}$  and  $f_0^{q_k/q_k} = f_0^1$ ). More precisely,  $g_k$  is still the identity near 0, but this time, it is of the form  $\text{id} + \gamma_k$  on a fundamental interval of  $f_0^{1/q_k}$  lying in  $L_k$  (and thus of length  $v_k/q_k$ ). Again,  $\gamma_k$  must be chosen  $\mathcal{C}^k$  small. In particular,  $u_k$  must be a  $o(v_k^k/q_k^k)$ .



That way, one can make sure, say, that

$$\|f_k^t - f_{k-1}^t\|_k = \|g_k^{-1} \circ f_0^t \circ g_k - f_0^t\|_k = \|\gamma_k\|_k < 2^{-k-1} \quad \text{for } t = p_k/q_k \text{ and } 1$$

(both equalities are direct consequences of the construction). Now if  $|\alpha - p_k/q_k|$  is small enough (roughly speaking,  $|\alpha - p_k/q_k| = o(\|\nu_l\|_k^{-1})$  for  $l = k$  and  $k - 1$ , assuming these “norms” are finite), the above bounds remain true for  $t = \alpha$  (replacing  $2^{-k-1}$  by  $2^{-k}$ , say), which ensures the regularity of the limit time- $\alpha$  map. But based on the previous paragraph, the more  $u_k = o(1/q_k^k)$  is small, the more  $\|g_k\|_k$ ,  $\|h_k\|_k$  and thus  $\|\nu_k\|_k$  are big. So, basically, in order for the process to converge,  $|\alpha - p_k/q_k|$  must be much smaller than  $1/q_k^k$ , and hence  $\alpha$  must be a Liouville number.

In [E1], we proved the existence of some well-chosen  $\alpha$  and  $q_k$  for which the process indeed converges. The main contribution of this article is to make all the “rough” estimations above precise, *i.e.* to control the size of the perturbations in terms of the initial data  $q_k$ , and to deduce from it that *any* Liouville number  $\alpha$  has a suitable approximation by rational numbers for which the process converges and provides the desired vector field  $\nu$ .

## 2 Notations and toolbox

For any  $\mathcal{C}^k$  map  $g$  on  $\mathbb{R}_+$  we set

$$\|g\|_k = \sup \{ |D^m g(x)|, 0 \leq m \leq k, x \in \mathbb{R}_+ \} \in [0, +\infty].$$

For any  $g \in \mathcal{D}^2$ , we define  $Lf$  by

$$Lf = D \log Df = \frac{D^2 f}{Df}.$$

The non-linear differential operator  $L$  satisfies the following chain rule:

$$L(h \circ g) = Lh \circ g \cdot Dg + Lg.$$

To compute or control derivatives of products and compositions, we will also use Leibniz rule:

$$D^k(gh) = \sum_{l=0}^k \binom{k}{l} D^l h D^{k-l} g$$

and Faà di Bruno’s formula in the form

$$D^k(h \circ g) = \sum_{\pi \in \Pi_k} \left( D^{|\pi|} h \right) \circ g \cdot \prod_{B \in \pi} D^{|B|} g,$$

where  $\Pi_k$  is the set of all partitions  $\pi$  of  $\{1, \dots, k\}$  and  $|X|$ , for any finite set  $X$ , is the number of its elements.

Finally, let  $\eta$  be a vector field on  $\mathbb{R}_+$ . Throughout the paper, we will make no difference between  $\eta$  and the function  $\eta/\partial_x$ , where  $x$  is the underlying coordinate in  $\mathbb{R}_+$ , and in particular we will identify  $\partial_x$  with 1. For  $g \in \mathcal{D}^1$ , we denote by  $g^*\eta$  the pullback of  $\eta$  by  $g$  which, viewed as a function, has the following expression:

$$h^*\eta = \frac{\eta \circ h}{Dh}.$$

### 3 A machine for turning rational approximations into vector fields

What we actually describe in this section is a “manufacturing process” which, to any increasing sequence of positive integers  $(q_k)_{k \geq 1}$ , associates a specific  $\mathcal{C}^1$  vector field  $\nu$  on  $\mathbb{R}_+$ , with a smooth time-1 map. Then (in the next sections), we show that any Liouville number  $\alpha$  has a suitable approximation by rational numbers  $(p_k/q_k)_{k \geq 1}$  such that the vector field  $\nu$  associated to the  $q_k$ ’s has all the additional properties listed in Theorem A.

Let  $(q_k)_{k \geq 1}$  be any increasing sequence of positive integers (fixed until the end of Section 3). In order to produce  $\nu$ , we must first associate to  $(q_k)_{k \geq 1}$  a number of intermediate objects, the main of which being an initial vector field  $\nu_0$ , smooth on  $\mathbb{R}_+$ , and a sequence  $(g_k)_{k \geq 1}$  of smooth diffeomorphisms of  $\mathbb{R}_+$ . Those are used to deform  $\nu_0$  gradually into new smooth vector fields

$$\nu_k = h_k^* \nu_0 \quad \text{where } h_k = g_k \circ \dots \circ g_1,$$

which converge in  $\mathcal{C}^1$  topology, and we define  $\nu$  as their limit.

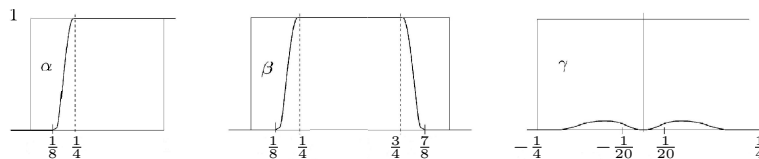
#### 3.1 Common basis

Some material used to construct  $\nu_0$  is common to every  $(q_k)_{k \geq 1}$ , namely the coefficients  $(v_n)_{n \geq 1}$  defined by

$$v_n = 2^{-(n+3)^2} \quad \text{for all } n \geq 1,$$

and three smooth functions  $\alpha, \beta, \gamma: \mathbb{R} \rightarrow [0, 1]$  satisfying the following conditions:

- $\alpha$  vanishes on  $(-\infty, \frac{1}{8}]$ , equals 1 on  $[\frac{1}{4}, +\infty)$ , and  $\|\alpha\|_1 < 16$ ;
- $\beta$  vanishes outside  $[\frac{1}{8}, \frac{7}{8}]$ , equals 1 on  $[\frac{1}{4}, \frac{3}{4}]$ , and  $\|\beta\|_1 < 16$ ;
- $\gamma$  vanishes outside  $[\frac{1}{4}, \frac{3}{4}]$ ,  $\gamma(x) = x^2/2$  if  $|x| \leq 1/20$ , and  $\|\gamma\|_1 < 1$ .



#### 3.2 Initial vector field and related objects

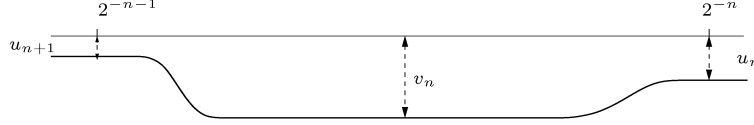
The coefficients  $(u_n)_{n \geq 1}$  defined now on the other hand, depend on  $(q_k)_k$ :

$$u_n = 2^{-n-4} q_n^{-n} v_n^n \|\gamma\|_n^{-1} \quad \text{for all } n \geq 1. \quad (2)$$

The initial vector field  $\nu_0$  is then defined by:

$$\nu_0(x) = -u_{n+1} - (u_n - u_{n+1}) \alpha(2^{n+1}x - 1) - (v_n - u_n) \beta(2^{n+1}x - 1) \quad (3)$$

$$\text{for } x \in [2^{-n-1}, 2^{-n}], n \geq 1, \quad \nu_0(0) = 0 \quad \text{and} \quad \nu_0(x) = -u_1 \quad \text{for } x \geq 1/2. \quad (4)$$



One easily checks that  $\nu_0$  is smooth, infinitely flat at the origin and  $\mathcal{C}^1$ -bounded — with  $0 < \|\nu_0\|_1 < 1$ . Furthermore,  $\nu_0$  equals  $-v_n$  identically on the central part of  $[2^{-n-1}, 2^{-n}]$ , namely  $[2^{-n-1} + 2^{-n-3}, 2^{-n} - 2^{-n-3}]$ , and  $-u_n$  on  $[2^{-n} - 2^{-n-4}, 2^{-n} + 2^{-n-3}]$ .

We denote by  $\{f_0^t, t \in \mathbb{R}\}$  the flow of  $\nu_0$ , and fix a forward orbit  $\{a_l, l \geq 0\}$  of  $f_0 = f_0^1$ , where  $a_0 = 1$  and  $a_l = f_0(a_{l-1})$  for all  $l \geq 1$ . A simple computation of travel time at constant speed shows that for every  $n \geq 1$ , there exist integers  $i$  and  $j$  such that

$$2^{-n} - 2^{-n-4} \leq a_{i+2} < a_{i-1} \leq 2^{-n} + 2^{-n-3} \quad (5)$$

$$\text{and} \quad 2^{-n-1} + 2^{-n-3} \leq a_{j+2} < a_{j-1} \leq 2^{-n} - 2^{-n-3}. \quad (6)$$

We denote by  $i(n)$  (resp.  $j(n)$ ) the smallest integer  $i$  (resp.  $j$ ) satisfying (5) (resp. (6)). Thus  $\nu_0$  equals  $-v_n$  on  $[a_{j(n)+2}, a_{j(n)-1}]$ , and hence  $f_0^t$  induces on  $[a_{j(n)+1}, a_{j(n)-1}]$  the translation by  $-tv_n$  for  $0 \leq t \leq 1$ . Similarly,  $f_0^t$  induces the translation by  $-tu_n$  in a neighbourhood of  $a_{i(n)}$ .

### 3.3 Conjugating diffeomorphisms and their properties

For all  $k \geq 1$ , we define  $\gamma_k : \mathbb{R}_+ \rightarrow [0, 1]$  by:

$$\gamma_k(x) = u_k \gamma\left(\frac{q_k}{v_k}(x - a_{j(k)})\right) \quad \text{for all } x \in \mathbb{R}_+. \quad (7)$$

The map  $\gamma_k$  is supported in  $S_k = \left[a_{j(k)} - \frac{v_k}{4q_k}, a_{j(k)} + \frac{v_k}{4q_k}\right]$ , which is a fundamental interval of  $f_0^{1/2q_k}$  since it lies inside  $[a_{j(k)+1}, a_{j(k)-1}]$  where the flow  $f_0^s$  of  $\nu_0$  at time  $0 \leq s \leq 1$  coincides with the translation by  $-sv_k$ . Furthermore, for all  $x \in \mathbb{R}_+$  and all  $m \in \mathbb{N}$

$$\begin{aligned} D^m \gamma_k(x) &= u_k \left(\frac{q_k}{v_k}\right)^m D^m \gamma\left(\frac{q_k}{v_k}(x - a_{j(k)})\right) \\ &= 2^{-k-4} \left(\frac{q_k}{v_k}\right)^{m-k} \|\gamma\|_k^{-1} D^m \gamma\left(\frac{q_k}{v_k}(x - a_{j(k)})\right) \end{aligned}$$

by definition (2) of  $u_k$ . In particular,

$$\|\gamma_k\|_k = 2^{-k-4}. \quad (8)$$

Now let  $J_k$  denote the fundamental interval  $\left[a_{j(k)} - \frac{v_k}{4q_k}, a_{j(k)} + \frac{3v_k}{4q_k}\right]$  of  $f_0^{1/q_k}$ . We define  $g_k: \mathbb{R}_+ \rightarrow \mathbb{R}_+$  as the unique map satisfying:

- $g_k = \text{id}$  on  $\left[0, a_{j(k)} - \frac{v_k}{4q_k}\right]$ ;
- $g_k = \text{id} + \gamma_k$  on  $J_k$ ;
- $g_k$  commutes with  $f_0^{1/q_k}$  outside  $J_k$ , so that

$$g_k = f_0^{-p/q_k} \circ (\text{id} + \gamma_k) \circ f_0^{p/q_k} \quad \text{on } f_0^{-p/q_k}(J_k) \text{ for all } p \geq 0. \quad (9)$$

In particular, all segments  $f_0^{-p/q_k}(J_k)$ ,  $p \in \mathbb{Z}$ , are stable under  $g_k$ . We now list some key properties of  $g_k$ .

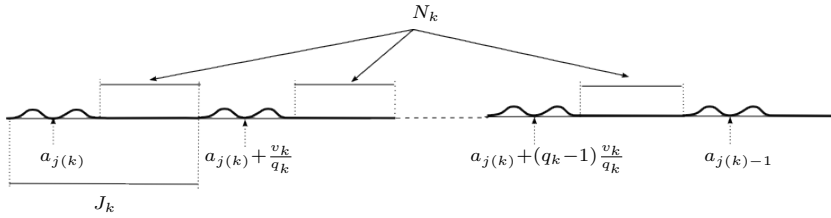
For all  $0 \leq p \leq q_k$ ,  $f_0^{-p/q_k}$  and  $f_0^{p/q_k}$  coincide with the translations by  $\frac{p}{q_k}v_k$  and  $-\frac{p}{q_k}v_k$  on  $J_k$  and  $f_0^{-p/q_k}(J_k)$  respectively, so that (9) becomes:

$$g_k = \text{id} + \gamma_k \circ \left(\text{id} - \frac{p}{q_k}v_k\right) \quad \text{on } f_0^{-p/q_k}(J_k), \quad 0 \leq p \leq q_k. \quad (10)$$

In particular,  $g_k$  is the identity on

$$N_k = \bigcup_{p=0}^{q_k-1} \left( a_{j(k)} + (2p+1)\frac{v_k}{2q_k} + \left[ -\frac{v_k}{4q_k}, \frac{v_k}{4q_k} \right] \right), \quad (11)$$

and *a fortiori* on every  $f_0^{-p/q_k}(N_k)$ ,  $p \geq 0$ . This is also true for  $p < 0$  since  $g_k$  is the identity on  $[0, a_{j(k)} - v_k/4q_k]$ .



Note furthermore that since  $\nu_0$  is constant equal to  $-u_1$  on  $[1/2, +\infty)$ ,  $f_0^{-1/q_k}$  coincides with the translation by  $u_1/q_k$  on  $[1/2, +\infty)$ , so  $g_k$  commutes with that translation there. *A fortiori*,  $g_k$  commutes with the translation by  $u_1$  on  $[1, +\infty)$ . Furthermore,

$$\begin{aligned} g_k(a_0 = 1) &= f_0^{-j(k)} \circ g_k \circ f_0^{j(k)}(a_0) \\ &= f_0^{-j(k)}(g_k(a_{j(k)})) = f_0^{-j(k)}(a_{j(k)}) = a_0 = 1, \end{aligned}$$

so  $[1, +\infty)$  is stable under  $g_k$ .

After differentiation, (9) becomes

$$Dg_k = \frac{Df_0^{p/q_k}}{Df_0^{p/q_k} \circ g_k} \times \left(1 + D\gamma_k \circ f_0^{p/q_k}\right) \quad \text{on } f_0^{-p/q_k}(J_k), \quad p \geq 0, \quad (12)$$

so  $g_k$  is a diffeomorphism since  $\|\gamma_k\|_1 < 1$ , according to (8). One can actually simplify expression (12). The vector field  $\nu_0$  being invariant under the diffeomorphisms of its flow,

$$Df_0^t = \frac{\nu_0 \circ f_0^t}{\nu_0} \quad \text{on } \mathbb{R}_+^* = (0, +\infty) \text{ for all } t \in \mathbb{R},$$

so

$$\frac{Df_0^{p/q_k}}{Df_0^{p/q_k} \circ g_k} = \frac{\nu_0 \circ f_0^{p/q_k}}{\nu_0} \times \frac{\nu_0 \circ g_k}{\nu_0 \circ f_0^{p/q_k} \circ g_k}.$$

But for all  $x \in f_0^{-p/q_k}(J_k)$ ,

$$\nu_0 \circ f_0^{p/q_k}(x) = \nu_0 \circ f_0^{p/q_k} \circ g_k(x) = -v_k$$

so

$$Dg_k = \frac{\nu_0 \circ g_k}{\nu_0} \times \left(1 + D\gamma_k \circ f_0^{p/q_k}\right) \quad \text{on } f_0^{-p/q_k}(J_k), \quad p \geq 0. \quad (13)$$

We now define for all  $k \geq 1$  a smooth diffeomorphism  $h_k = g_k \circ \dots \circ g_1$  and a smooth vector field  $\nu_k = h_k^* \nu_0$ . The flow  $\{f_k^t, t \in \mathbb{R}\}$  of  $\nu_k$  is well defined and consists of smooth diffeomorphisms of  $\mathbb{R}_+$  satisfying  $f_k^t = h_k^{-1} \circ f_0^t \circ h_k$ . Note that  $h_k$ , like  $g_l$  for all  $l \leq k$ , commutes with the translation by  $u_1$  on  $[1, +\infty)$ . Let us define furthermore the (possibly empty) sets  $H_{k_0}$ , for all  $k_0 \geq 1$ , and  $H$  by

$$H_{k_0} = \bigcap_{l \geq k_0} \bigcup_{0 \leq p < q_l} \left[ \frac{2p+1}{2q_l} - \frac{1}{4q_l}, \frac{2p+1}{2q_l} - \frac{1}{4q_l} \right] \quad (14)$$

and

$$H = \bigcup_{k_0 \geq 1} H_{k_0}. \quad (15)$$

We will need the following lemma in the proof of Proposition 2 to show that for all  $t \in H$ , the time- $t$  map of the limit vector field  $\nu$  is not  $\mathcal{C}^2$ .

**Lemma 1.** *Let  $t \in H_{k_0} \subset H$  for some  $k_0 \geq 1$ . For all  $k \geq k_0$ ,  $h_k$  has the following behaviour on the orbits  $\{a_n, n \in \mathbb{Z}\}$  and  $\{b_n = f_0^{-t}(a_n), n \in \mathbb{Z}\}$  of  $f_0^1$ :*

1.  $h_k$  is infinitely tangent to the identity at  $b_n$  for all  $n \geq j(k_0)$ ;
2.  $h_k$  is  $\mathcal{C}^1$ -tangent to the identity on  $\{a_n, n \in \mathbb{Z}\}$  — i.e  $h_k(a_n) = a_n$  and  $Dh_k(a_n) = 1$  for all  $n \in \mathbb{Z}$ ;
3.  $(Lh_k - Lh_{k-1})(a_n)$  equals  $\frac{u_k q_k^2}{v_k |\nu_0(a_n)|}$  if  $n \leq j(k)$  and 0 otherwise.

*Proof.* Let  $k \geq k_0$ . To prove the first point, we must check that for all  $l \geq 1$  and  $n \geq j(n_0)$ ,  $g_l$  is the identity near  $b_n$ . For  $l < k_0$ , this is true because  $b_n \notin [a_{j(l)} - \frac{v_l}{4q_l}, +\infty)$ , which contains the support of  $g_l$ . As for  $l \geq k_0$ , according to (11), we only need to check that  $b_n \in f_0^p(N_l)$  for some  $p \in \mathbb{N}$ . But

$$b_{j(l)} = f_0^{-t}(a_{j(l)}) = a_{j(l)} + tv_l \in N_l$$

by definition of  $H_{k_0}$ , so  $b_n = f_0^{n-j(l)}(b_{j(l)}) \in f_0^{n-j(l)}(N_l)$  for all  $n \in \mathbb{Z}$ , which concludes the proof of the first point.

Now  $\gamma(0) = D\gamma(0) = 0$ , so  $\gamma_l(a_{j(l)}) = D\gamma_l(a_{j(l)}) = 0$  for all  $l \geq 1$ , according to (7), and since  $g_l = \text{id} + \gamma_l$  on  $J_l$ ,  $g_l$  is tangent to the identity at  $a_{j(l)}$ . This is also true at every point  $f_0^{-p/q_l}(a_{j(l)})$ ,  $p \geq 0$ , by definition (9) of  $g_l$  (in particular at every  $a_n$ ,  $n \leq j(l)$ ), and at every  $a_n$ ,  $n > j(l)$  since  $g_l = \text{id}$  on a neighbourhood of  $[0, a_{j(l)+1}] = [0, a_{j(l)} - v_l]$ . This, applied to  $h_k = g_k \circ \dots \circ g_1$ , proves the second point.

Let us now apply the chain rule to  $h_k = g_k \circ h_{k-1}$ :

$$Lh_k = Lg_k \circ h_{k-1} \times Dh_{k-1} + Lh_{k-1}.$$

For all  $n \in \mathbb{Z}$ , point 2 tells us that  $h_{k-1}(a_n) = a_n$  and  $Dh_{k-1}(a_n) = 1$ , so the above equality gives

$$(Lh_k - Lh_{k-1})(a_n) = Lg_k(a_n).$$

For  $n > j(k)$ ,  $Lg_k(a_n) = 0$  since  $g_k$  is the identity on a neighbourhood of  $[0, a_{j(k)+1}]$ . Suppose now that  $n \leq j(k)$  and write  $p = j(n_k) - n \geq 0$ . According to (9), on a neighbourhood of  $a_n$ ,  $g_k$  is given by:

$$g_k = f_0^{-p} \circ (\text{id} + \gamma_k) \circ f_0^p.$$

Furthermore

$$\text{id} = f_0^{-p} \circ \text{id} \circ f_0^p.$$

The chain rule formula applied to both equalities gives:

$$\begin{aligned} Lg_k &= Lg_k - Lid = Lf_0^{-p} \circ (\text{id} + \gamma_k) \circ f_0^p \times D(\text{id} + \gamma_k) \circ f_0^p \times Df_0^p \\ &\quad + L(\text{id} + \gamma_k) \circ f_0^p \times Df_0^p - Lf_0^{-p} \circ f_0^p \times Df_0^p. \end{aligned}$$

At  $a_n = f_0^{-p}(a_{j(k)})$ , we get

$$\begin{aligned} Lg_k(a_n) &= Lf_0^{-p}(a_{j(k)} + \gamma_k(a_{j(k)})) \times (1 + D\gamma_k(a_{j(k)})) \times Df_0^p(a_n) \\ &\quad - Lf_0^{-p}(a_{j(k)}) \times Df_0^p(a_n) + L(\text{id} + \gamma_k)(a_{j(k)}) \times Df_0^p(a_n). \end{aligned}$$

Since  $\gamma_k(a_{j(k)}) = D\gamma_k(a_{j(k)}) = 0$ , the first two terms cancel each other. In the end, the invariance relation  $\nu_0 \circ f_0^p = Df_0^p \times \nu_0$  applied at  $a_n$  and the definition of  $\gamma_k$  give

$$Lg_k(a_n) = L(\text{id} + \gamma_k)(a_{j(k)}) \times \frac{\nu_0(a_{j(k)})}{\nu_0(a_n)} = \frac{u_k q_k^2}{v_k^2} \times \frac{v_k}{|\nu_0(a_n)|}.$$

□

### 3.4 Convergence of the deformation process and properties of the limit

**Proposition 2.** For all  $k \geq 1$ ,

$$\|f_k^t - f_{k-1}^t\|_k \leq 2^{-k-4} \quad \text{for all } t \in \frac{1}{q_k} \mathbb{Z} \cap [0, 1]. \quad (\text{i}_k)$$

In particular, the time-1 maps  $f_k^1$  converge in  $\mathcal{C}^\infty$  topology towards a smooth diffeomorphism  $f$  with no other fixed point than 0, whose Szekeres vector field  $\nu$  is the  $\mathcal{C}^1$  limit of the vector fields  $\nu_k$ . On the other hand, for all  $t$  in  $H$ , the time- $t$  map  $f^t$  of  $\nu$  is not  $\mathcal{C}^2$ .

*Proof.* Let us start with estimate (i<sub>k</sub>). Let  $\{\varphi_k^t, t \in \mathbb{R}\}$  denote the flow of  $g_k^* \nu_0$ , so that

$$\varphi_k^t = g_k^{-1} \circ f_0^t \circ g_k.$$

Since

$$\nu_k = h_k^* \nu_0 = h_{k-1}^* g_k^* \nu_0 \quad \text{and} \quad \nu_{k-1} = h_{k-1}^* \nu_0,$$

the flows of  $\nu_k$  and  $\nu_{k-1}$  are given by

$$f_k^t = h_{k-1}^{-1} \circ \varphi_k^t \circ h_{k-1} \quad \text{and} \quad f_{k-1}^t = h_{k-1}^{-1} \circ f_0^t \circ h_{k-1}.$$

By definition,  $g_k$  commutes with  $f_0^{1/q_k}$  outside  $J_k$ . As a consequence,  $g_k$  commutes with any iterate  $f_0^{p/q_k}$ ,  $p \geq 1$ , outside the interval

$$\bigcup_{q=0}^{p-1} f_0^{-q/q_k}(J_k).$$

Thus,  $\varphi_k^{p/q_k}$  coincides with  $f_0^{p/q_k}$  outside this interval. In particular, for  $0 \leq p \leq q_k$ , since  $f_0^s$  coincides with the translation by  $-sv_k$  on  $[a_{j(k)} - v_k, a_{j(k)} + v_k]$  for all  $0 \leq s \leq 1$ ,  $\varphi_k^{p/q_k}$  coincides with  $f_0^{p/q_k}$  outside

$$M_k = \left[ a_{j(k)} - \frac{v_k}{4q_k}, a_{j(k)} + v_k - \frac{v_k}{4q_k} \right].$$

Moreover, for all  $x \in J_k$ ,

$$\begin{aligned} \varphi_k^{1/q_k}(x) &= g_k^{-1} \circ f_0^{1/q_k} \circ g_k(x) \\ &= g_k^{-1} \left( g_k(x) - \frac{v_k}{q_k} \right) \\ &= g_k^{-1} \left( x + \gamma_k(x) - \frac{v_k}{q_k} \right) \quad \text{by definition of } g_k \text{ on } J_k \\ &= x - \frac{v_k}{q_k} + \gamma_k(x) \quad \text{since } x + \gamma_k(x) - \frac{v_k}{q_k} < \min(\text{Supp } g_k^{-1}) \\ &= f_0^{1/q_k}(x) + \gamma_k(x). \end{aligned}$$

Thus, since  $\varphi_k^{1/q_k}$  coincides with  $f_0^{1/q_k}$  outside  $J_k$ ,  $\varphi_k^{1/q_k} - f_0^{1/q_k} = \gamma_k$  on all of  $\mathbb{R}_+$ . Similarly, for all  $0 \leq p \leq q_k$ ,

$$\varphi_k^{p/q_k}(x) - f_0^{p/q_k}(x) = \sum_{q=0}^{p-1} \gamma_k \left( x - \frac{qv_k}{q_k} \right) \quad \text{for all } x \in \mathbb{R}_+, \quad (16)$$

$$\text{so } \left\| \varphi_k^{p/q_k} - f_0^{p/q_k} \right\|_m = \|\gamma_k\|_m \quad \text{for all } m \in \mathbb{N}. \quad (17)$$

But in the region  $M_k$  where  $\varphi_k^{p/q_k}$  and  $f_0^{p/q_k}$  differ for  $0 \leq p \leq q_k$ , the diffeomorphism  $h_{k-1}$  is the identity since

$$\text{Supp } h_{k-1} \subset \bigcup_{l \leq k-1} \text{Supp } g_l \subset \left[ a_{j(k-1)} - \frac{v_{k-1}}{4q_{k-1}}, +\infty \right).$$

Consequently, for all  $0 \leq p \leq q_k$ , the relations

$$f_k^{p/q_k} = h_{k-1}^{-1} \circ \varphi_k^{p/q_k} \circ h_{k-1}$$

and

$$f_{k-1}^{p/q_k} = h_{k-1}^{-1} \circ f_0^{p/q_k} \circ h_{k-1}$$

imply:

$$f_k^{p/q_k} - f_{k-1}^{p/q_k} = \begin{cases} \varphi_k^{p/q_k} - f_0^{p/q_k} & \text{on } M_k \\ 0 & \text{outside,} \end{cases} \quad (18)$$

which, together with (17), gives (i<sub>k</sub>):

$$\left\| f_k^{p/q_k} - f_{k-1}^{p/q_k} \right\|_k \leq \left\| \varphi_k^{p/q_k} - f_0^{p/q_k} \right\|_k = \|\gamma_k\|_k \leq 2^{-k-4}.$$

As a consequence, the time-1 maps  $f_k^1 = f_k$  converge towards a smooth diffeomorphism  $f$ . Let us note furthermore that

$$\left| \frac{f_k(x) - f_{k-1}(x)}{f_0(x) - x} \right| \leq 2^{-k-2} \quad \text{for all } k \geq 1. \quad (19)$$

Indeed, according to (16) and (18),

$$f_k(x) - f_{k-1}(x) = \begin{cases} \sum_{q=0}^{q_k-1} \gamma_k \left( x - \frac{qv_k}{q_k} \right) & \text{on } M_k, \\ 0 & \text{outside,} \end{cases}$$

so since at most one term of the above sum is nonzero,

$$|f_k(x) - f_{k-1}(x)| \leq \|\gamma_k\|_0 \leq u_k.$$

But on  $M_k$ ,

$$|f_0(x) - x| = v_k.$$

The last two remarks imply inequality (19) for  $u_k/v_k \leq 2^{-k-2}$ . Thus for all  $x \in \mathbb{R}_+^*$ ,

$$\begin{aligned} |f(x) - x| &= \left| f_0(x) - x + \sum_{k \geq 1} (f_k(x) - f_{k-1}(x)) \right| \\ &\geq |f_0(x) - x| \left( 1 - \sum_{k \geq 1} 2^{-k-2} \right) \\ &\geq \frac{|f_0(x) - x|}{2} > 0. \end{aligned}$$

So  $f$  has no other fixed point than 0.

We could prove the  $\mathcal{C}^1$  convergence of the vector fields  $\nu_k$  by hand, as in [E1] and [E2]. But since a third similar proof would be of little interest, we choose to invoke a different argument here. In fact, the convergence of the  $\nu_k$  can be derived directly from the  $\mathcal{C}^\infty$  convergence of their time-1 maps, as an immediate consequence of a theorem by J.-C. Yoccoz [Y, chap. 4, Theorem 2.5] asserting the continuous dependence of the Szekeres vector field with respect to its time-1 map (in a more general setting and for suitably defined topologies). We denote by  $\nu$  the limit of  $\nu_k$  and by  $\{f^t, t \in \mathbb{R}\}$  the flow of  $\nu$  (so that  $f = f^1$ ). For all  $t \in \mathbb{R}$ ,  $f^t$  is the limit of  $f_k^t$  in  $\mathcal{C}^1$  topology.

Now let  $t \in H_{k_0}$  for some  $k_0 \geq 1$ . We want to prove that  $Lf^t$  is not continuous at 0. To do that, we compute  $Lf^t$  at  $b_{i(l)} = f_0^{-t}(a_{i(l)})$  for all  $l \geq k_0 + 1$ . By invariance of  $\nu$  under its flow,

$$Df^t = \frac{\nu \circ f^t}{\nu} \quad \text{on } \mathbb{R}_+^*$$

from which one computes

$$Lf^t = \frac{D\nu \circ f^t - D\nu}{\nu}.$$

In particular,

$$Lf^t(b_{i(l)}) = -\frac{D\nu(f^t(b_{i(l)})) - D\nu(b_{i(l)})}{u_l}.$$

But for all  $k \geq k_0$ ,

$$\begin{aligned} f_k^t(b_{i(l)}) &= h_k^{-1} \circ f_0^t \circ h_k(b_{i(l)}) \\ &= h_k^{-1} \circ f_0^t(b_{i(l)}) \quad \text{according to Lemma 1,} \\ &= h_k^{-1}(a_{i(l)}) = a_{i(l)} \quad \text{according to Lemma 1 again,} \end{aligned}$$

so  $f^t(b_{i(l)}) = \lim_k f_k^t(b_{i(l)}) = a_{i(l)}$ . Besides, the derivative of  $\nu_k = h_k^* \nu_0$  is

$$D\nu_k = D\nu_0 \circ h_k - (\nu_0 \circ h_k) \frac{Lh_k}{Dh_k},$$

so for all  $k \geq l$ , according to points 2 and 3 of Lemma 1,

$$D\nu_k(a_{i(l)}) = D\nu_0(a_{i(l)}) - \nu_0(a_{i(l)})Lh_k(a_{i(l)}) = \sum_{n=l}^k \frac{u_n q_n^2}{v_n}, \quad (20)$$

and according to point 1 of the same lemma,

$$D\nu_k(b_{i(l)}) = D\nu_0(b_{i(l)}) - \frac{Lh_k}{Dh_k}(b_{i(l)})\nu_0(b_{i(n_l)}) = 0 - 0 = 0. \quad (21)$$

The vector fields  $\nu_k$  converge towards  $\nu$  in  $\mathcal{C}^1$  topology on  $\mathbb{R}_+$ , so Formulae (20) and (21) give

$$D\nu(a_{i(l)}) = \sum_{n \geq l} \frac{u_n q_n^2}{v_n} \quad \text{and} \quad D\nu(b_{i(l)}) = 0.$$

In the end,

$$Lf^t(b_{i(l)}) = - \sum_{n \geq l} \frac{u_n q_n^2}{v_n u_l} < - \frac{q_l^2}{v_l} \rightarrow -\infty \quad [l \rightarrow \infty]$$

so  $f^t$  is not  $\mathcal{C}^2$  at 0. □

## 4 Polynomial control of the manufactured objects

**Proposition 3.** *There are maps  $n$  and  $c: \mathbb{N}^* \times \mathbb{N} \rightarrow \mathbb{R}_+$  such that for any increasing sequence  $(q_k)_{k \geq 1}$  of positive integers, the vector fields  $(\nu_k)_{k \geq 0}$  built from  $(q_k)_{k \geq 1}$  and their flows  $\{f_k^t, t \in \mathbb{R}\}$  satisfy*

$$\|\nu_k \circ f_k^t\|_r \leq c(k, r) q_k^{n(k, r)} \quad \text{for all } (k, r) \in \mathbb{N}^* \times \mathbb{N}. \quad (22)$$

This proposition relies on the following assertions.

**Lemma 4.** *There are universal bounds on all derivatives of  $\nu_0$  and  $f_0^t$ ,  $t \in [0, 1]$ , i.e. bounds which depend neither on  $(q_k)_k$  nor on  $t$ .*

**Lemma 5.** *There is a polynomial (in  $q_k$ ) control on the growth of the derivatives of  $g_k$ , i.e. there exist universal maps  $c, n: \mathbb{N}^* \times \mathbb{N} \rightarrow \mathbb{R}_+$  such that for any  $(q_k)_{k \geq 1}$ , the associated  $(g_k)_{k \geq 1}$  satisfies*

$$\max(\|g_k - \text{id}\|_r, \|g_k^{-1} - \text{id}\|_r) < c(k, r) q_k^{n(k, r)} \quad (23)$$

for all  $(k, r) \in \mathbb{N}^* \times \mathbb{N}$ .

*Proof of Proposition 3 using Lemmas 4 and 5.* We proceed by induction on  $k$ . Step  $k = 0$  follows directly from Lemma 4 and Faa di Bruno's Formula. For  $k \geq 1$ , step  $k$  is easily obtained from step  $k - 1$  and Lemma 5 applying Faa di Bruno's and Leibnitz' derivation formulas to the relations

$$\nu_k = g_k^* \nu_{k-1} = (\nu_{k-1} \circ g_k)(Dg_k^{-1} \circ g_k) \quad \text{and} \quad f_k^t = g_k^{-1} \circ f_{k-1}^t \circ g_k.$$

□

*Proof of Lemma 4.* It is clear from the definition (3) of  $\nu_0$  that its derivatives are bounded independently of the coefficients  $(u_n)_n$ , and thus of  $(q_n)_n$ . Similar bounds on the derivatives of the flow (for a compact set of times) are then easily derived from an appropriate (generalized) version of Gronwall's Lemma.  $\square$

*Proof of Lemma 5.* Let  $k \geq 1$ . The orders  $r = 0$  and  $r = 1$  are easily settled using (\*), (8) and (13). In particular,

$$\|g_k - \text{id}\|_1 < \frac{1}{2} \quad \text{for all } k. \quad (24)$$

Note that given (24), a polynomial (in  $q_k$ ) control on the growth of the derivatives of  $g_k - \text{id}$  automatically gives one on  $g_k^{-1} - \text{id}$ . This is because the inverse of any smooth diffeomorphism  $g$  satisfies

$$(D^r g^{-1}) \circ g = \frac{P_r(Dg, \dots, D^r g)}{(Dg)^{2r+1}}, \quad (25)$$

where  $P_r$  is a universal polynomial in  $r$  variables (independent of  $g$ ), and in our case,  $Dg = Dg_k$  is bounded below independently of  $(q_n)_n$ . Formula (25) is obtained by induction on  $r$ , starting with the identity  $Dg^{-1} \circ g \times Dg = 1$  and using Faa di Bruno's Formula.

We now focus on  $g_k - \text{id}$ . Recall that

$$g_k = \begin{cases} \text{id} & \text{on } [0, \min J_k] \\ \text{id} + \gamma_k & \text{on } J_k \\ f_0^{-p} \circ (\text{id} + \gamma_k) \circ f_0^p & \text{on } f_0^{-p}(J_k), \text{ for all } p \geq 1. \end{cases} \quad (26)$$

Thus, on  $[0, \max J_k]$ ,

$$|D^r(g_k - \text{id})| = |D^r \gamma_k| \leq u_k \left( \frac{q_k}{v_k} \right)^r \|\gamma\|_r \leq c(r, k) q_k^{n(r, k)},$$

with

$$c(r, k) = \frac{2^{-k-4} \|\gamma\|_r v_k^{k-r}}{\|\gamma\|_k} \quad \text{and} \quad n(r, k) = r - k,$$

by definition (2) of  $u_k$ . Then, given (26) (and Faa di Bruno's formula again), a uniform (in  $p$ ) polynomial (in  $q_k$ ) control on the derivatives of  $f_0^p |_{f_0^{-p}(J_k)}$  will ensure the desired control on  $D^r(g_k - \text{id})$  on the rest of  $\mathbb{R}_+$ .

The vector field  $\nu_0$  being preserved by its own flow,

$$Df_0^p = \frac{\nu_0 \circ f_0^p}{\nu_0} \quad \text{on } \mathbb{R}_+^*.$$

In particular, on  $f_0^{-p}(J_k)$ ,

$$Df_0^p = -\frac{v_k}{\nu_0},$$

and thus, for all  $r \geq 1$ ,

$$D^{r+1}f_0^p = \frac{Q_r(\nu_0, \dots, D^r\nu_0)}{\nu_0^{2^r}}, \quad (27)$$

where  $Q_r$  is a universal polynomial (independent of  $\nu_0$ ) in  $r+1$  variables. According to Lemma 4, for each  $r$ , the numerator of (27) is bounded independently of  $(q_k)_k$ . As for the denominator,  $|\nu_0(x)| \geq u_k$  for all  $x \in [\max J_k, \infty)$ , so by definition (2) of  $u_k$ ,

$$\frac{1}{\nu_0^{2^r}} \leq (2^{k+4}v_k^{-k} \|\gamma\|_k)^{2^r} q_k^{2^r(k+1)},$$

which is the kind of control we were looking for (the bound does not depend on  $p$ ).  $\square$

## 5 Convergence of the time- $\alpha$ maps

**Proposition 6.** *Let  $\alpha$  be a Liouville number. There is a sequence  $(p_k/q_k)_{k \geq 1}$  of rational approximations of  $\alpha$  such that the vector field  $\nu$  built from  $(q_k)_{k \geq 1}$  has all the properties described in Theorem A.*

Let  $\alpha$  be a Liouville number. Then by definition there exists a sequence  $(p_k/q_k)_{k \geq 1}$  of rational approximations of  $\alpha$  satisfying

$$\left| \alpha - \frac{p_k}{q_k} \right| < \frac{2^{-k-2}c(k,k)^{-1}}{q_k^{n(k,k)}} \quad \text{for all } k, \quad (C_k)$$

where  $c$  and  $n$  are the maps given by Proposition 3. Note that for such a sequence  $(q_k)_k$ , the set  $H$  defined by (15) is a Cantor set (in particular nonempty). Hence, Proposition 6, and thus Theorem A, follow from Lemma 7 below and Proposition 2.

**Lemma 7.** *Let  $\alpha$  be a Liouville number and  $\frac{p_k}{q_k}$ ,  $k \geq 1$ , a sequence of rational approximations of  $\alpha$  satisfying  $(C_k)$  for all  $k \geq 1$ . Then the vector fields  $\nu_k$  associated to  $(q_k)_{k \geq 1}$  and their flows satisfy*

$$\|f_k^\alpha - f_{k-1}^\alpha\|_k \leq 2^{-k} \quad \text{for all } k \geq 1. \quad (28)$$

As a consequence, the time- $\alpha$  map of the limit  $\nu$  of  $\nu_k$  is smooth.

*Proof.* Let  $k \geq 1$ .

$$\|f_k^\alpha - f_{k-1}^\alpha\|_k \leq \|f_k^\alpha - f_k^{p_k/q_k}\|_k + \|f_k^{p_k/q_k} - f_{k-1}^{p_k/q_k}\|_k + \|f_{k-1}^{p_k/q_k} - f_{k-1}^\alpha\|_k.$$

According to (i<sub>k</sub>) in Proposition 2, the central term is less than  $2^{-k-2}$ . Now

$$D^n \left( f_k^\alpha - f_k^{p_k/q_k} \right) = D^n \left( \int_{p_k/q_k}^\alpha \frac{df_k^t}{dt} dt \right) = \int_{p_k/q_k}^\alpha D^n(\nu_k \circ f_k^t) dt,$$

so

$$\|f_k^\alpha - f_k^{p_k/q_k}\|_k \leq \left| \alpha - \frac{p_k}{q_k} \right| \|\nu_k \circ f_k^t\|_k \leq 2^{-k-2}$$

according to  $(C_k)$  and Proposition 3. A similar argument gives

$$\|f_{k-1}^{p_k/q_k} - f_{k-1}^\alpha\|_k \leq 2^{-k-2}$$

and in the end,

$$\|f_k^\alpha - f_{k-1}^\alpha\|_k \leq 2^{-k}. \tag{29}$$

□

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